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STATEMENT UNDER 37 CFR 3.73(b)

1581.0840001/RWE/M-G

Applicant/Patent Owner: ROBINSON et al.Application No./Patent No.: 09/942,583 Filed/Issue Date: August 31, 2001Entitled: Neisserial Vaccine Compositions And MethodsHealth Protection Agency, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ % in the patent application patent identified above by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

ORB. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Microbiological Research Authority To: Health Protection Agency
The document was recorded in the Patent and Trademark Office at
Reel 014511, Frame 0735, or for which a copy thereof is attached.
2. From: See 1 in Addendum To: Microbiological Research Authority
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3. From: _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

24/5/04

Date

Telephone number

J. M. Harker

Typed or printed name

Signature

SECRETARY, HPA BOARD

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

256540.1

Addendum

1. Andrew Robinson, Andrew Richard Gorringer, Michael John Hudson, Philippa Bracegirdle, and Karen Margaret Reddin

COPY

Certificate Under 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: John Simon Kroll, Paul Richard Langford, Cliona Anne O'Dwyer and Steven Anthony Rochford Webb

Application No./Patent No.: _____ (to be assigned) Filed/Issue Date: herewith

Entitled: Neisserial vaccine compositions and methods

Imperial College Innovations Ltd, a Corporation

(Name of Assignee)

(Type of Assignee: e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest, or
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

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The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: 18 MARCH 2002

Name: S. SQUIRE

Title: MANAGING DIRECTOR

Signature: _____